

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

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No.: EM 038 046 231 US  
Date of Deposit: July 16, 2007

Appln No. : 10/656,098  
Applicant(s): Hiroshi KITA et al  
Filed : September 4, 2003  
Title : ELECTROLUMINESCENT  
MATERIAL,  
ELECTROLUMINESCENT  
ELEMENT AND COLOR  
CONVERSION FILTER

Art Unit : 1774  
Examiner : Marie Rose Yamnitzky  
Docket No. : 990788D2/HG  
Confirm. No.: 9919  
Customer No.: 01933

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Susan Gillen  
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In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP AMENDMENT**

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S I R :

Submitted herewith are the following:

- (1) (a) Copy of a Search Report/Official Action issued in a European application corresponding to the present application and a translation thereof;
- (1) (b) Copy of a Search Report/Official action issued in each of two European applications related to two copending U.S. applications related hereto.
- (2) Copies of the cited publications and



- (3) Reference citation listing the cited documents and the U.S. patent documents related to this application as explained below. It is requested that an initialed copy of the form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Discussion:

An English version of each of the three Office Actions (Search Reports) is enclosed.

The corresponding U.S. patent applications to the present application are SN 11/493,108, SN 10/652,949, 10/653,842 and 09/466,949. 10/652,949 is a divisional of 09/466,949 (U.S. Patent 6,656,608). This application is a divisional of 09/466,949 (U.S. Patent 6,656,608). 11/493,108 is a "grandchild" of 09/466,949. Each of these is cited on the citation form because of there relationship to each other.

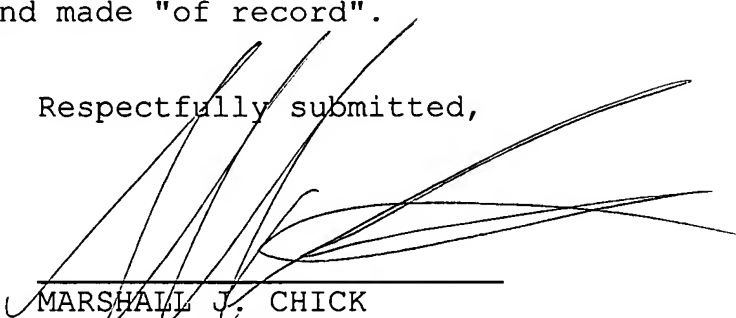
Each of the Search Report documents is considered relevant because of its citation in the enclosed Office Actions/Search Reports and for the reasons stated therein.

No fee is required as a first action has not yet issued since the filing of the RCE concurrently herewith. However, if it is determined that a fee is due, please charge it to our Account 06-1378.

It is therefore respectfully requested that the cited publications be considered and made "of record".

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Respectfully submitted,



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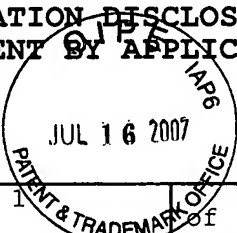
Encs.: Form PTO/SB/08A and publications listed thereon  
European Search Report

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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  			Application Number		10/656,098
			Filing Date		September 4, 2003
			First Named Inventor		Hiroshi KITA et al
			Group Art Unit		1774
			Examiner Name		
Sheet	1	2	Attorney Docket Number		990788D2/HG

## U.S. PATENT DOCUMENTS

Exam. Inits*	Cite No <sup>1</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		2004/0096696	A1	Hiroshi KITA et al	05-20-2004	
		2004/0072019	A1	Hiroshi KITA et al	04-15-2004	
		2004/652,949	A1	Hiroshi KITA et al	05-20-2004	
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## FOREIGN PATENT DOCUMENTS

Exam Inits*	Cite No <sup>1</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
			EP 0 648 737	A1	Mita Industrial Co Ltd.	04-19-1995		
			JP 08 050364	A	Mita Industrial Co Ltd.	02-20-1996		1
			EP 0 710 892	A1	Mita Industrial Co. Ltd.	05-08-1996		
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			JP 08 183953	A	Chisso Corp.	07-16-1996		1
			EP 0 992 564	A1	Idemitsu Kosan Co	04-12-2000		
			WO 00/14144	A	Fraunhofer Ges Forschung	03-16-2000		

\* EXAMINER: Initial if document considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

<sup>1</sup> ABSTRACT ONLY


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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<div>Substitute for Form 1349A/PTO</div> <div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div></div>				Application Number	10/656,098
				Filing Date	September 4, 2003
				First Named Inventor	Hiroshi KITA et al
				Group Art Unit	1774
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	990788D2/HG
OTHER PRIOR DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		BETTENHAUSEN, J.; GRECZMIEL, M.; JANDKE, M.; STROHRIEGL, P., SYNTHETIC METALS, vol. 91, No. 1-3, December 1997 (1997-12), pages 223-228, XP002417443, Sections 1,3,4,6 *compound 20D*			
		JANDKE, M.; STROHRIEGL, P.; BERLEB, S.; WERNER, E.; BRUETTING, W.: MACROMOLECULES, vol. 31, No. 19, 22 September 1998 (1998-09-22), pages 6434-6443, XP002417444, Sections 3,4,6,8 *compounds 11C,D, 15D-F*			
		REDECKER, M.; BRADLEY, D.D.C.; JANDKE, M.; STROHRIEGL, P.; APPLIED PHYSICS LETTERS, vol. 75, No. 1, 5 July 1999 (1999-07-05), page 109-111, XP002417445 *the whole document*			
Examiner Signature				Date Considered	

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<sup>1</sup> Unique citation designation number. <sup>2</sup> Place a check here if English translation is attached.

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